



INTRODUCTION - WCCAS



ICDR CANADA

INTERNATIONAL CENTRE FOR DISPUTE RESOLUTION

The Western Canada Commercial Arbitration Society ("WCCAS") is an informal assembly of some of Western Canada's most experienced domestic and international commercial arbitrators. Its purposes are to encourage the use of commercial arbitration by Canadian businesses in appropriate circumstances, to promote Western Canada as a suitable "seat" of arbitration, and ensure that we continue to have a sufficient number of trained, experienced arbitrators capable of dealing with the many important and complex cases that arise in or are seated in Western Canada.

- Approximately 40 members
- Hosts an Annual Arbitration Conference in Calgary for about 100 guests
- More information about the organization and its members at <u>www.wccas.ca</u>

ICDR CANADA, ICDR & AAA INSTITUTIONAL HIGHLIGHTS

ICDR CANADA	ICDR	AAA	
Canadian domestic cases	International cases worldwide	United States domestic cases	
Rules created in 2015	Last change in 2014	2014 Commercial, construction & + rules	
80+ arbitrators across Canada	750+ arbitrators worldwide	6,300+ arbitrators in the U.S.	
15+ mediators in Canada	45+ mediators worldwide	1,700+ mediators in the U.S.	
20%+ diversity on panel	22%+ diversity on panel	25%+ diversity on panel	
10+ Cases in 2018 -19	993 international cases in 2018	8983 U.S. commercial cases 2018	
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ICDR CANADA, ICDR & AAA
RULE HIGHLIGHTS

ICDR CANADA	ICDR	AAA	
Canadian domestic cases	International cases worldwide	United States domestic cases	
Rules created in 2015	Last change in 2014	Commercial, construction & + rules	
ICDR Canada rules provide that document discovery practices in domestic court are not necessarily appropriate	ICDR rules provide that U.S. Style discovery is not appropriate for ICDR international cases	AAA Commercial Rules mention that U.S. style discovery tools like depositions are available	
ICDR Canada rules do not have punitive damage waiver.	ICDR rules provide waiver for punitive type damages	AA Commercial rules do not have unitive damage waiver	
ICDR Canada rules do not waive irrevocably their right to appeal	ICDR waives irrevocably their right to any form of appeal	AAA rules do not waive irrevocably their right to appeal	
Award deadline of 30 days	Award deadline of 60 days	Award deadline of 30 days	
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Administrative Call	• An administrative call is held to discuss timing, arbitrator expertise and appointment approach, desire to mediate and other nuances particular to their case
Online Access to Whole Panel	• Parties are provided the opportunity to review all ICDR & ICDR Canada arbitrator profiles to help them agree on the appointment of their arbitrator.
Arbitrator Availability	• Arbitrators have to commit to being available to serve in their case. "The tribunal shall conduct the proceedings with a view to expediting the resolution of the dispute."
Appointment & Challenge	• The institution handles all details of the appointment process, compensation, and any challenges. Challenges are handled through the Administrative Review Council
Online Case Management	• Parties have access to a secure online case management system that provides parties with document storage, scheduling, payment of fees, and other resources.
Awards	 Award due no later than 30/60 days after hearings closed. Arbitrators shall deliberate ASAP. ICDR reviews & shares award with parties.



INTERNATIONAL CENTRE FOR DISPUTE RESOLUTION®

AAA-ICDR[®] Best Practices Guide



for Maintaining Cybersecurity and Privacy

The AAA-ICDR is committed to the security and privacy of customer and case information. To effectuate that goal AAA-ICDR has implemented best practice policies, procedures and technologies internally to help protect its data and information systems. The protections that have been implemented apply to all case data and equipment stored and managed on the AAA-ICDR technology infrastructure. AAA® employees routinely participate in online training programs designed to heighten their knowledge of security policies and procedures. The AAA has also prepared a AAA-ICDR Cybersecurity Checklist which parties and/or their representatives as well as arbitrators may use as a resource.

Recognizing that cybersecurity is a shared responsibility, AAA-ICDR is requiring all arbitrators on its panels to complete a training course by year-end 2020. The program is designed to educate the arbitrators as to the cybersecurity basics so they can preserve and protect the integrity and legitimacy of the arbitral process in cases in which they are serving.

 The following best practices are designed to provide guidance to parties, their representatives, and arbitrators regarding cybersecurity measures they should consider adopting. It does not impose hard or fast rules, but rather encourages an in depth discussion of the potential risks and reasonable and proportionate protective measures that might be taken to better secure sensitive information. These best practices are not intended to ensure compliance with any applicable laws, regulations, professional or ethical obligations. 1. During the preliminary hearing, the parties and/or their representatives and arbitrator should discuss reasonable precautions to be taken with regard to cybersecurity, privacy, and data protection to ensure an appropriate level of security for the case. 	
 Early in the proceeding, and no later than the preliminary hearing, the parties' representatives should also discuss whether they plan to exchange information that presents a heightened need 	
for cybersecurity, such as confidential information or personal data.	
AAA-ICDR BEST PRACTICES GUIDE FOR MAINTAINING CYBERSECURITY AND PRIVACY 1 adr.org	
AMERICAN ABBITRATION ASSOCIATION ASSOCIATION AAAA-ICDR® Best Practices Guide for Maintaining Cybersecurity and Privacy	
 In connection with the above, arbitrator(s) and parties' representatives should discuss the following: Does this case require an enhanced level of cybersecurity, privacy or data protection? If yes, why? Is there confidential information that will require specific security practices and measures? If 	
yes, how should these specific practices and measures be incorporated in this proceeding? c. How do participants plan to ensure that all case related email communications are secure?	
Are there any concerns with the security level of the email accounts or service providers being utilized by all participants?	

	Contact Us (+)
	Rules, Forms & Fees
The International Centre for Dispute Resolution Canada (ICDR [®] Canada) provides dispute resolution services for Canadian domestic disputes nationwide. ICDR Canada operates under the auspices of the ICDR.	Canadian Dispute Resolution Procedures (Including Arbitration and Mediation Rules): English
ICDR Canada provides full administrative services and support from case filing to closing in English and French for arbitration, mediation, and arbitrator appointment services for parties located throughout Canada's provinces and territories. Canadian parties have access to a dedicated administrative team and dozens of independent arbitrators and mediators across Canada.	Canadian Dispute Resolution Procedures (Including Arbitration and Mediation Rules): French
The Canadian Dispute Resolution Procedures (Including Arbitration and Mediation) are specifically tailored for Canadian practice.	ICDR Canada Fee Schedule
ICDR Canada Clause Drafting	Other Links
ICDR Canada Clause-Drafting Guide: English and ICDR Canada Clause-Drafting Guide: French tailor the clause-drafting process to Canadian parties.	ICDR Canada Submission to Dispute Resolution: English
How to File a Case with ICDR Canada	ICDR Canada Submission to Dispute Resolution: French : ICDR Canada Notice of Arbitration: English :
Online at AAAWebFile [®] : <u>www.adr.org/onlinefiling</u>	ICDR Canada Notice of Arbitration: French
Email: <u>casefiling@icdrcanada.org</u> ICDR Case Filing Services can be contacted at: 1.844.859.0845 (Toll-free phone within Canada)	ICDR Canada Request for Mediation: French : AAA-ICDR Model Standards of Conduct for Mediators
9 Hearings	AAA-ICDR Model Standards of Conduct for Parties and Representatives



STAY OF PROCEEDINGS/REFERRAL TO ARBITRATION

Uber - awaiting the Supreme Court of Canada's judgment

Heller v. Uber Technologies Inc., 2019 ONCA 1

SCC heard the appeal from the Court of Appeal for Ontario's judgment on 6 November 2019, and judgment is anticipated soon. Will likely provide key guidance on the relationship between arbitration agreements and statutory codes, and about whether and how arbitration agreements may be challenged as being unconscionable, at least in the non-commercial context.

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STAY OF PROCEEDINGS/REFERRAL TO ARBITRATION

Williams v. Amazon.com, Inc., 2020 BCSC 300

Court stayed a proposed class proceeding for non-consumer claims seeking damages based on a standard form contract which submitted those claims to an AAA-administered arbitration and subject to U.S. laws. Court acknowledged the "*real prospect*" that an arbitrator (i) could decide that remedies for *Competition Act* claims were not available and that U.S. substantive law might apply instead and (ii) might lack jurisdiction to award the claimed damages, but held that those were not sufficient to hold that the arbitration agreement was void, inoperative or incapable of performance. Case did not raise any unconscionability concerns, unlike <u>Heller v. Uber Technologies Inc.</u>, 2019 ONCA 1.

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STAY OF PROCEEDINGS/REFERRAL TO ARBITRATION LED Roadway Lighting Ltd. v. Alltrade Industrial Contractors Inc., 2019 NSSC 62 Faced with competing forms, court stayed the litigation pending the outcome of arbitration between the parties. Although not able to find an agreement to arbitrate, neither was there a clear objection to arbitration. Arbitrator should decide the issue first. 9338-3941 Québec inc. v. 9356-2379 Québec Inc., 2019 QCCS 1221 Court referred the parties to arbitration despite the possibility that some of the relief sought might not be covered by the arbitration agreement. Preferable to have the arbitrator rule first on jurisdiction and then allow the parties to apply to the court for review or decision, rather than the reverse sequence. Doing so would respect the parties' autonomy to choose how to resolve their disputes. ICDR INTERNATIONAL CENTRE FOR DISPUTE RESOLUTION



MRC Total Build Ltd. v. F&M Installations Ltd., 2019 BCSC 765

Court determined it was at least arguable that parties to one contract intended to incorporate by reference the arbitration provisions set out in another document. Once the court finds that it is arguable that such an intention exists, and absent the (possibly) referentially-incorporated arbitration agreement being incapable of being performed, the court must refer the matter to the arbitrator for determination.

Hydro Hawkesbury v. ABB Inc., 2020 ONCA 53

Court enforced arbitration agreement contained in external materials that were readily available and specifically referred to in documents creating the contractual relationship. Held that a "fairly sophisticated corporate consumer" doing business with a foreign supplier in international markets would reasonably be expected to expect and to review the terms.

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STAY OF PROCEEDINGS/REFERRAL TO ARBITRATION

Houm Services Inc. v. Lettuce Eatery Development Inc., 2020 BCSC 430

Court stayed non-arbitrable claims filed against defendant and its employees, pending resolution of claims which did fall within the agreement to arbitrate. Held that the agreement was valid and that any further relief, beyond the scope of the agreement to arbitrate, could be pursued in court after arbitration despite any "procedural complexity" or delays.

STAY OF PROCEEDINGS/REFERRAL TO ARBITRATION

But courts will still examine the allegations carefully and not grant stays if the arbitrator's jurisdiction is not considered arguable.

Trainor v. Fundstream Inc, 2019 ABQB 800

Court declined to refer the parties to arbitration, holding that the employment contract was neither void *ab initio* nor invalid but simply did not apply to the resulting legal relationship between the parties. Employment contract provided for services "*within*" a province but the disputed services were actually performed "*without*", in another province. Arbitration agreement did not apply to the termination because the services did not relate to the otherwise valid but unperformed original employment contract.

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STAY OF PROCEEDINGS/REFERRAL TO ARBITRATION

Courts may also not always enforce arbitration agreements in the insolvency context.

Petrowest Corporation v. Peace River Hydro Partners, 2019 BCSC 2221

Court held that the mandatory terms of the <u>Arbitration Act, RSBC 1996, c 55</u> do not prevent courts from exercising their inherent jurisdiction to refuse to stay court proceedings where provisions of the <u>Bankruptcy and Insolvency Act, RSC 1985, c B-3</u> apply. Court lists a number of factors to consider when exercising that jurisdiction. Also held that a trustee in bankruptcy becomes a party to an arbitration agreement when the trustee institutes litigation to enforce the terms of the main contract in which the arbitration agreement appears.



















CONFIDENTIALITY PROVISIONS IN BRITISH COLUMBIA LEGISLATION

International Commercial Arbitration Act, RSBC 1996, c 233

Privacy and confidentiality*

36.01 (1) Unless otherwise agreed by the parties, all hearings and meetings in arbitral proceedings must be held in private.

- (2) Unless otherwise agreed by the parties, the parties and the arbitral tribunal must not disclose any of the following:
 - (a) proceedings, evidence, documents and information in connection with the arbitration that are not otherwise in the public domain;
 - (b) an arbitral award.
- (3) Subsection (2) does not apply if disclosure is
 - (a) required by law,
 - (b) required to protect or pursue a legal right, including for the purposes of preparing and presenting a claim or defence in the arbitral proceedings or enforcing or challenging an arbitral award, or
 - (c) authorized by a competent court.

* Similar language is included in the new non-international commercial arbitration act, which is scheduled to come into force in late 2020

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